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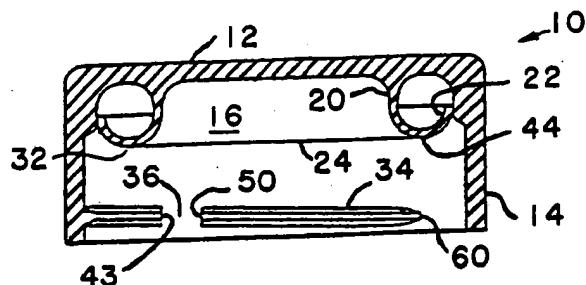


INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁴ : B65D 41/02		A1	(11) International Publication Number: WO 88/ 10218
			(43) International Publication Date: 29 December 1988 (29.12.88)

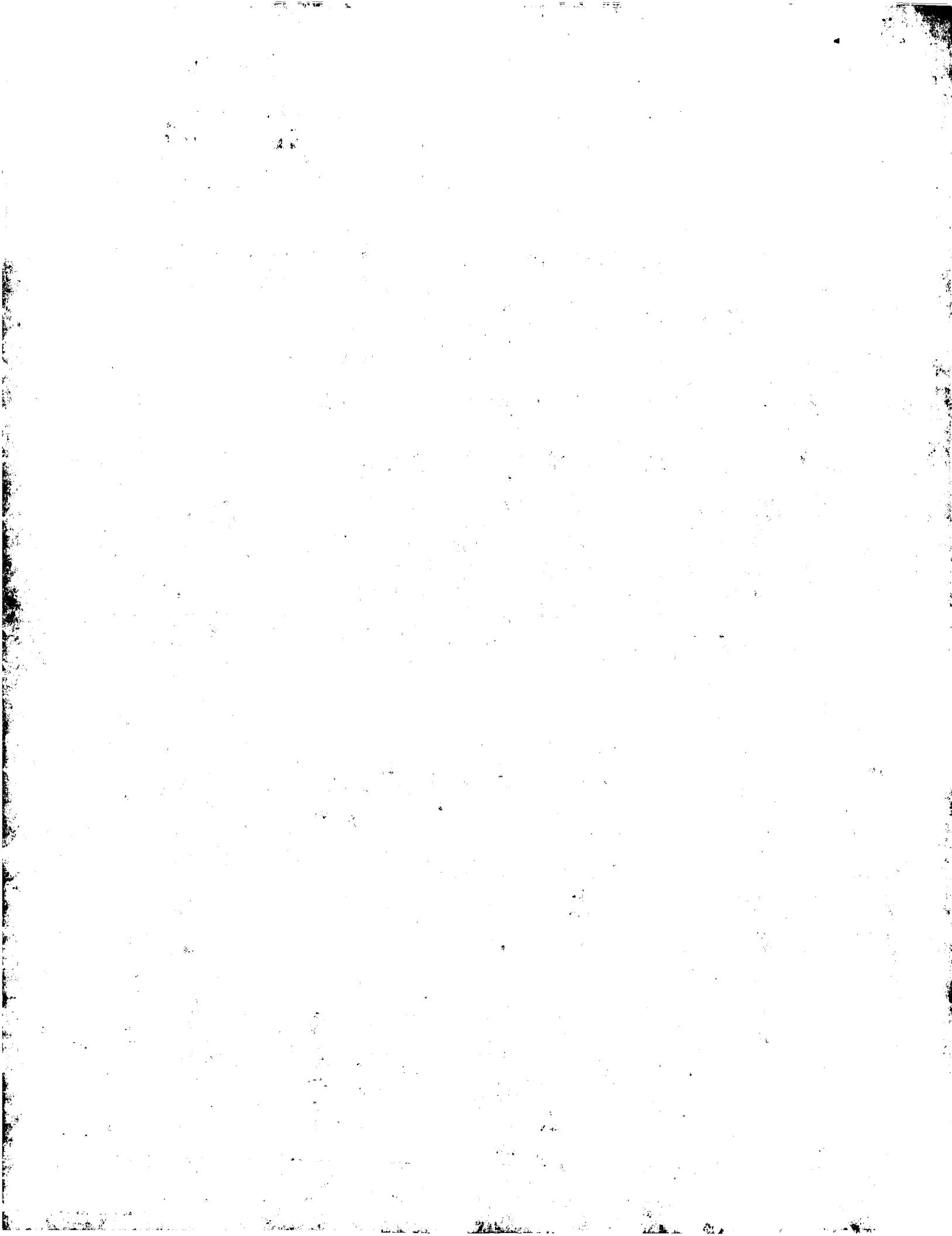
(21) International Application Number: PCT/US88/02080	(81) Designated States: AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), DK, FR (European patent), GB (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), SU.
(22) International Filing Date: 16 June 1988 (16.06.88)	
(31) Priority Application Number: 063,119	
(32) Priority Date: 17 June 1987 (17.06.87)	
(33) Priority Country: US	Published <i>With international search report. With amended claims.</i>
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(54) Title: CLOSURE FOR CONTAINER AND METHOD AND APPARATUS FOR FORMING THE CLOSURE



(57) Abstract

A container cap (10) having a depending spring portion (16) adapted to constantly urge engaging parts of the cap and the container together upon engagement therebetween. Preferably, the free end (22) of the spring portion has low hoop stress when axially compressed to help perform the required function. In forming the spring portion, preferably one end (20) is attached at the top wall of the cap, its other end (22) is free to move relative to the cap and a horizontal intermediate element (44) is provided therebetween. In use, the spring portion has a bearing surface at or beyond the horizontal element thereof.



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CLOSURE FOR CONTAINER AND METHOD AND
APPARATUS FOR FORMING THE CLOSURE

FIELD OF THE INVENTION

This invention relates to twist closures for containers and to means to assure consistent levels of sealing performance and application and uncapping torques. The invention also relates to methods of forming such caps and to child resistant closures.

BACKGROUND OF THE INVENTION

A great deal of attention has been focused by the packaging industry on efforts with twist caps to achieve consistent closure sealing performance and consistent levels of capping torques. A basic problem exists with the construction of the closures and with the methods and machinery used to apply caps to containers. This problem results in large variations of the torque required by the consumer to remove such caps so that some demand unusual strength or special implements while others may be so loosely applied that the effectiveness of their seal has been compromised.

With threaded closures it is typical that they are applied by capping machinery which turns the cap onto the container neck until a pre-set torque level required to assure an adequate seal is obtained. The required torque level is arrived at when the threaded engagement of the closure reaches the point where the liner or linerless sealing feature is compressed by the container neck rim to a level where the threads are so compressed against one another that they resist further engagement. Typically, the capping machine may be adjusted to provide a given capping torque level. However, most capping machines have a limited sensitivity to detect and disengage at a consistent level of torque. Some machines (e.g., those with magnetic clutches) are superior in this regard but still are lacking in consistency and are expensive. A major reason for the

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lack of consistency by capping machines lies in the normal variation in dimensions, surface lubricity, etc., in both caps and container neck finishes within the specifications employed for their quality control in 5 production.

Typically, metal lug twist caps are applied to neck finishes which include a positive stop so that a limit is provided beyond which the cap cannot be twisted. The reason for such provision is that such lug 10 engagement are short in span and, at the segment where seal compression takes place, low in pitch so that without a positive stop, the lug engagement could be exceeded and the cap would not be engaged. However, even with a positive stopping provision, wide variations 15 in sealing force and uncapping torques are still experienced. This condition is made more severe by the high stiffness of metal and of glass containers which are typically employed for lug caps.

In general, plastic twist caps with lug 20 engagement are seldom used where high seal integrity is needed because of the very high levels of localized stress and the resultant cold flow or creep which occurs to cause the caps to go out-of-round and to lose their sealing force. However, some use for lug type plastic 25 caps has been developed by employing specially configured separate liners which incorporate a plug seal, a spring portion to act against the container rim and a positive stop so that very little stress is required for closure engagement, since the plug seal does not require a 30 positive axial stress for its sealing engagement. Such caps find use for packaging dry products, primarily for prescription drugs and their design is directed towards making the closure child resistant by including a positive locking means which requires that the cap be 35 pushed down and turned before it can be removed.

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Attempts to develop a one-piece closure wherein the integral liner also acts as a spring portion (see, U.S. Patent No. 4,091,948) have been unsuccessful largely due to the fact that they have been unable to achieve the 5 required level of flexibility and recoverable deformation in the integral liner.

In reference to the existing two-piece push-and-turn child resistant closures, problems exist with the inability of many adults to open such closures 10 due to a lack of strength in their fingers. This fact has resulted in the use of separate caps for the same package -- one child resistant and the other non-child resistant, or in the use of a two-sided cap where each side has the different feature. Both approaches are 15 expensive and inconvenient.

Thus, known twist closures are beset with problems and drawbacks associated with their need to perform while having coacting surfaces with wide dimensional tolerance and surface lubricity, limited 20 capping machinery sensitivity and inflexible materials resulting in specially configured and expensive liners, poor sealing performance and difficulty in opening.

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SUMMARY OF THE INVENTION

In accordance with the present invention, there is provided a new and unique closure consisting of a container and a unitary twist cap having a provision for producing a uniform level of capping and uncapping torque and sealing force. The cap is substantially rigid and includes plastic material and has a top wall which covers the container opening. The top wall has a depending skirt which engages the finish of the container for closure thereof and which has a positive stopping means to coact with a positive stopping means on the neck finish of the container. Spaced inwardly from the skirt and depending from the top wall of the cap is an integral spring portion which acts against the container neck and is employed in concert with the positive stopping means of the closure to stop and align the cap and container neck finish to predetermined levels of sealing force and capping torque. The integral spring portion has a high level of recoverable deformation or resiliency as a result of provisions in its design which significantly reduce its strength in the hoop direction while maintaining its strength in the radial direction. As the cap is twisted onto the container neck for closing, the spring portion compresses to provide a positive force to effect the engagement of the closure stopping means at the predetermined level of sealing force and capping torque. Preferably the closure engaging means consists of threads or lugs and the closure stopping means consists of suitable coacting projections and recesses on the neck finish and skirt inner wall.

In a preferred embodiment, the spring portion of the cap is an annular wall which depends from the lid and has a free end which is curled outwardly to provide at least about a quarter-round radial cross section

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which engages the rim of the container in an axial compressive engagement. Such a spring portion has a generally horizontal element at or intermediate the area of its engagement with the container rim and its 5 attachment site on the top wall and, as a result, it provides a high level of resiliency in the axial direction. Optionally, the radial cross section of the spring portion may be a more fully curled "U" shape or "O" shape. It may also be essentially inarcuate in the 10 region of said generally horizontal intermediate element. Optionally, the spring portion may have radial slits to facilitate its use or may have circumferential corrugations for the same reason. Optionally, the spring portion may also serve as a linerless rim seal, 15 or it may be used in conjunction with a separate linerless plug seal which depends from the top wall to sealingly engage the bore of the container neck. In another option, the depending annular wall may have a free end which includes axial slits and which is bent 20 outwardly to form generally horizontal flaps which act as a peripheral series of cantilevered springs. In a method for forming the cap and spring portion of this embodiment, the cap preferably is formed first by conventional molding techniques, such as injection or 25 compression molding, with an internal preform for the spring portion. The preform includes an annular wall which is spaced inwardly from the peripheral skirt and which is integral with and depends from the lid. Thereafter, the free end is turned outwardly by 30 reforming means which compressively engages its lip. To produce a generally quarter-round cross section, a curling tool may be used. To produce a "U" shape, the curling tool has provision to then turn the lip of the free end upwardly upon further compression. To produce 35 an "O" shape, the preform is further compressed by the

curling tool and the lip of the free end curls inwardly and completes the "O" shape as a result of the stresses imposed by its plastic memory. Optionally, slits can be produced in the curled spring portion during its initial 5 molding, during curling by cutting edges included in the curling tool, or subsequently in a separate operation. To produce generally horizontal flaps, the free end may include slits around its periphery and at least the slitted portion is turned outwardly by compressive 10 engagement with a reforming tool. Optionally, the slits may be created during the reforming by the tool itself. Optionally, circumferential corrugations can be produced in the spring portion during molding or by employing suitable forming tool surfaces.

15 In another preferred embodiment, the spring portion of the cap is an annular wall which depends from the top wall in a downwardly and outwardly direction. Its radial cross section may be straight or arcuate. In this embodiment, provision for reducing the hoop 20 strength of the spring portion to enhance its level of resiliency in the axial direction is made by including radial slits or slots around its periphery. A separate linerless plug seal may depend from the top wall to engage the bore of the container neck for sealing 25 purposes. Optionally, the spring portion may have circumferential corrugations to enhance its function. In a method for forming the cap of this embodiment, the cap preferably is molded by conventional molding techniques, in molds which have provision to produce the 30 desired slots during molding. Optionally, the desired slits may be produced after molding employing tools with appropriate cutting edges.

35 In another embodiment of the invention, the closure also includes a positive locking means which requires a closure manipulation additional to twisting

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to unlock and remove the cap. The closure requires an axial displacement of the cap relative to the container to unlock their engagement prior to cap removal by twisting. Preferably, the axial displacement of the cap 5 may be accomplished by pressing on a restricted portion of the cap lid with the locking mechanism therebelow so that a lower level of unlocking pressure may be employed while allowing maximum amount of sealing pressure.

In another embodiment, the closure may include 10 a locking mechanism in one position of engagement and may have another position of engagement which is not locked. Preferably, the cap has a single bore with a top and a depending skirt including the engaging and locking means. Optionally, the locking means may be 15 located on the container neck finish. Optionally, the cap may have two bores with a mutual top and an upwardly projecting skirt and a downwardly depending skirt wherein one skirt includes a locking means and its opposing skirt does not and the cap is inverted to 20 switch from a locked engagement with the container to an unlocked engagement.

In another embodiment, the container is fitted 25 with a curled portion at its rim which may have a U-shaped cross-section. The rim may also be provided with a depending annular ring which is adapted to depress the curled portion to thereby provide significant axial compression to effect engagement and disengagement of the cap from the container. The neck lip or rim may also be provided with a horizontal flange which can be 30 depressed by the depending wall of the cap.

BRIEF DESCRIPTION OF THE DRAWINGS

5 The following is a detailed description together with accompanying drawings of illustrative embodiments of the invention. It is to be understood that the invention is capable of modification and variation apparent to those skilled in the art within the spirit and scope of the invention.

10 FIGURE 1 is a longitudinal sectional view of one embodiment of the cap of the invention.

FIGURE 2 is a plan view of the cap of FIGURE 1.

FIGURE 3 is a longitudinal view of a container, such as a bottle neck, upon which the cap of FIGURE 1 can be applied.

15 FIGURE 4 is a sectional view of FIGURE 3, taken along the lines 4-4.

FIGURE 5 illustrates the closing of the cap of FIGURE 1 on the container of FIGURE 3.

20 FIGURE 6 is a longitudinal sectional view of one embodiment of the method of the invention, illustrating a preformed cap of the invention and a tool for curling the free end of the depending wall of the cap.

25 FIGURE 7 generally is the same as FIGURE 6, except that the tool has engaged and formed the curled free end in the depending wall of a cap of the invention.

FIGURE 8 is a longitudinal view, partly in section, of another embodiment of the cap of the invention, wherein the cap also includes a plug seal 30 having a curled free end.

FIGURE 9 is a longitudinal view of another bottle neck which can be used in combination with the caps of the invention.

35 FIGURE 10 is sectional view of FIGURE 9, taken along the lines 10-10.

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FIGURE 11 is a longitudinal view partly in section of the cap of FIGURE 8 on the container of FIGURE 9.

5 FIGURE 12 is a longitudinal view of an embodiment of a container which can be used in combination with the caps of the invention.

10 FIGURE 13 is a longitudinal sectional view of a cap of the invention which can be used with the container neck of FIGURE 12.

15 FIGURE 14 is a longitudinal sectional view of a portion of another embodiment of a preform of the cap about to be engaged by a forming tool of the invention.

15 FIGURE 15 is similar to FIGURE 14, except that the forming tool has caused the depending wall of the cap to be curled and slit.

FIGURE 16 is a plan view of FIGURE 15, taken along the lines 16-16 of FIGURE 15.

20 FIGURE 17 is a longitudinal sectional view of a portion of the formed cap of FIGURE 15 in engagement with a container.

FIGURE 18 is a longitudinal sectional view of a child resistant cap of the invention.

25 FIGURE 19 is a longitudinal view of a portion of a container for child resistant caps of the invention.

FIGURE 20 is a longitudinal sectional view of the cap of FIGURE 18 on the container of FIGURE 19.

FIGURE 21 is a longitudinal sectional view of an assembled cap and container of the invention.

30 FIGURES 22 and 23 are longitudinal sectional views illustrating the forming of the cap of FIGURE 21.

FIGURE 24 is a longitudinal sectional view of another embodiment of the cap of the invention.

35 FIGURE 25 is a longitudinal sectional view illustrating the engagement of the cap of FIGURE 24 with

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a container.

FIGURE 26 is a longitudinal view of a child resistant or easily accessible container of the invention.

5 FIGURE 27 is similar to FIGURE 26 except it illustrates the operation of the container of FIGURE 26 and cap of FIGURE 24.

10 FIGURE 28 is a longitudinal sectional view of the combination of a child resistant and easily accessible cap of the invention.

FIGURE 29 is a longitudinal sectional view of another child resistant and easily accessible cap of the invention.

15 FIGURE 30 is a partial sectional view showing an annular depression in the lip of the container formed of outwardly curled walls.

FIGURE 31 is a longitudinal sectional view of a cap engaged to the container neck shown in FIGURE 30.

20 FIGURE 32 is a longitudinal sectional view of a horizontal flange extending from the lip of the container and engaged by the wall of a cap.

FIGURE 33 is a longitudinal sectional view showing a curved flange extending from the lip of the container and engaged by the wall of a cap.

25 FIGURE 34 is a longitudinal sectional view of a cap having horizontal elements integral with the depending skirt.

DETAILED DESCRIPTION OF THE INVENTION

Referring to FIGURES 1 to 4, there is shown a cap 10 and a coacting container neck 38 of the invention.

Referring first to FIGURES 1 and 2, there is shown a semi-rigid cap 10 of plastic having a lid 12, a depending peripheral skirt 14 including an internal thread 34 having a lead-in 60 and a recess 36 therein, and an integral curled or curved spring portion 16 which also provides a sealing surface 32. The illustrated spring portion 16 has an upper end 20 integral with the lid 12, a free end 22 and an intermediate element 44, which is generally horizontal, and has a large amount of compressibility. The recess 36 in the thread 34 has a generally vertical or circumferentially directed stopping face 48. FIGURES 3 and 4 show a container neck 38 having a transfer bead 58 and a side wall 56 including an external thread 52 having a projection 54 thereunder.

FIGURE 5 shows the cap 10 of FIGURES 1 and 2 in closed and sealed engagement with the container neck 38 of FIGURES 3 and 4. To produce the closed engagement of cap 10 and neck 38 the lead-in 60 of the cap thread 34 engages the neck thread 52 and is turned and moves downwardly until it reaches the neck thread projection 54 at which point there is little or no compression of the cap spring portion 16. Without such compression and because there is sufficient clearance at the neck portion 62 between neck thread 52 where it overlaps, the cap thread lead-in 60 moves past the neck thread projection 54 with its stopping face 64. At this juncture, the cap spring portion 16 begins to develop significant compression and to exert significant pressure on the neck projection 54 by the cap thread 34.

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As the capping operation continues, when this compression and pressure reach a level which is well above that required for suitable sealing, the cap thread gap 36 reaches the neck thread projection 54 and the two 5 threads are snapped into a continuous peripheral engagement at a specific and desired sealing force whereupon the capping operation positively stops as cap thread stopping face 48 meets the neck thread stopping face 64. The amount of compressibility of the spring 10 portion 16 is large to allow a sufficient height to the neck projection stopping face 64 to provide a consistent buttressing surface to the cap stopping face 48 while providing additional compressibility to produce a significant sealing force and seal integrity. The 15 preferred level of recoverable compressibility is well in excess of that achieved by typical cap liners and linerless rim seals and ranges from .020 to .100 inches and higher. Such high levels of compressibility derive from the curved cross section of the spring portion 16 20 and the fact that the sealing pressure is exerted at surface 32 which is close to or beyond the horizontal element 44. Such a shape for spring portion 16 results in its largely axial deformation during use with allow level of localized strain or resiliency needed for the 25 successful operation of the spring portion 16 and for the development of a significant sealing force.

Referring to FIGURES 6 and 7, there is shown a preferred method of forming the curled portion 24 of the curved spring portion 16. In FIGURE 6, the cap 10 30 already has been formed by conventional molding techniques, such as injection molding, with a vertical cylindrical or tubular wall 18 having its upper end 20 integral with the lid 12 and with its lower free end 22 ready for curling by the illustrated curling tool 26. 35 As shown in FIGURE 6, there is a taper in lower end 22

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extending from the rim 30 which facilitates the initiation of the curl 24 and the wall 18 and the curl 24 are free of abrupt changes in thickness.

5 The curled portion 24 of the seal 16 is formed with a curling tool 26, which in FIGURE 6 has been positioned within the cap 10 ready to engage the preformed wall 18 at its lip or rim 30. The curling tool 26 includes a circular or annular groove 28 of a concave cross section suitable for shaping and
10 dimensioning the curled portion 24.

As shown in FIGURES 6 and 7, the forming operation is accomplished by pressing the groove 28 of the tool 26 against the rim 30 of the wall 18. In this embodiment, the deepest portion 33 of the groove 28 representing the center of its concavity is located outwardly of the cylindrical plane of the wall 18. Also the groove 28 has a slanted portion 39 inwardly and tangent to its concavity to facilitate centering of the tool and cap. As movement of tool 26 relative to the
15 wall 18 are centered within groove 28 by the slanted portions 39 and are then forced outwardly and then upwardly to assume the desired curved shape having a curved cross section of from about 90 to 360 degrees but preferably from about 180 to 240 degrees, but in all
20 cases including a generally horizontal element 44 of the curled spring portion 16 has a measurable radial span.
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To facilitate the curling operation, in the case of polypropylene, the tool 26 may be at a temperature of about ambient to about 300 degrees F but
30 preferably about 150 to about 300 degrees F for curling cycles of about one-half to two seconds. The curl radius of the groove 28 and the resultant spring portion 16 may range from .040 to .100 inches or larger when used in conjunction with wall 18 thicknesses of about
35 .005 to .030 inches. The thickness of wall 18 may

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desirably be tapered to include free ends 22 of about .005 to .015 inches and upper ends 20 of from .015 to .030 inches.

5 In FIGURE 8, there is shown the cap 10 of FIGURE 1, 2 and 5 wherein a separate curled linerless plug seal 80 as described in my copending application Serial No. 809,058 is included, the entire disclosure of which is incorporated herein by reference. The plug seal 80 is formed by curling to produce a curled free end 84 with an outer sealing surface 80 and depends from lid 12 by its attached upper end 86. The seal 80 is used to supplement the spring seal 16 with those containers having suitable neck inside surfaces 46.

10 Referring now to FIGURES 9 to 11, there is shown a neck finish 37 which is similar to the neck 38 illustrated by FIGURES 3 to 5 except that a positive locking means 70 has been included in neck stopping means projection 54. When the cap 10 of FIGURES 1, 2 and 5 is applied to the neck finish 37 the threading 15 operation continues until the neck stopping means face 64 stops further thread movement by engaging the cap stopping means face 48 whereupon the neck locking means 20 70 is in opposition to the cap locking means 50. In order to disengage such opposition, the cap 10 must be 25 pressed axially against the neck 37 whereupon the curved spring portion 16 compresses to allow the cap locking means 50 to pass the neck locking means 70 when turned.

30 Referring now to FIGURES 12 and 13, there is shown a container neck 38a having separate projections 54a, used for stopping engagement and 54b, used for locking engagement with similar recessed means 36 in the cap 10 including cap stopping face 48 and locking face 50. The projections 54a and 54b are spaced apart along neck thread 52 by a thread portion 66. The cap 10 is 35 applied and removed from the neck finish 38a in the same

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manner as in FIGURE 9. However, in this embodiment, the cap 10 may be reapplied in an unlocked but otherwise secure position by reapplying cap 10 until the lead-in portion 60 is located along the neck thread portion 66 between projections 54a and 54b. In this position, the spring portion 16 is compressed enough to provide a seal as well as a positive seating of the cap thread 34 against the neck thread 52. However, there are no locking faces in opposition and the cap 10 may easily be removed without special manipulation. Alternatively, where desired the cap 10 may be reapplied to the locked position. Optionally, the cap lid 12 may include an indicating means 31 on its upper surface above the locking face 50 so that the cap lid 12 may be pressed downwardly only at that point to unlock the cap. In this manner, much lower pressures are required to unlock the cap without compromising its intended child resistant use.

Referring now to FIGURES 14 to 16, there is shown an alternative method for producing the spring portion 16 of cap 10 wherein the curling tool 26a has peripherally spaced cutting edges 72 located in groove 28. As shown in FIGURE 15, as the rim 30 of preform wall 18 enters the groove 28, it meets the cutting edges 72 which slit it axially so that after the curl 24 has been formed virtually all its hoop strength has been removed by its peripherally spaced slits 74. In this manner, the resiliency of the spring 16 may be further enhanced while relying on a separate linerless plug seal for sealing.

In FIGURE 17 there is shown an alternative method for producing peripheral slits in the spring portion 16 wherein the curl 24 is produced as illustrated by FIGURES 6 and 7 and in a sequential operation the tool 26a of FIGURES 14 to 16 is pressed against the

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already formed curl 24 so that the slits 74 occur only in the intermediate spring portion 76.

Referring now to FIGURES 18 to 20, there is illustrated a cap 10 including an integral spring portion 16 having a curled free end 24 with a sealing portion 32 and lugs 90 used to secure the cap to a container neck 38. Disposed about the periphery of rim 40 of neck 38 are lugs 92 shaped with a recess 96 to receive and coact with the lugs 90 of the cap 10. The recess 96 has a stopping face 94 and a locking face 98 to prevent cap removal without first pressing the cap downwardly to free the cap lug 90 from the container lug recesses 96.

FIGURES 21 to 23 illustrate an alternative spring portion 16 to the cap 10 illustrated in FIGURES 18 to 20 in which generally horizontal flaps 78 are attached to the lid 12 through a short annular wall 20 and coact in a cantilevered manner with the rim 40 of container neck 38. The flaps 78 are separated by slots 74 which may be molded in or formed subsequently. FIGURES 22 and 23 show how the spring portion 16 is formed by bending the flaps 78 outwardly and upwardly from the as-molded position which forms a generally conical structure 79 employing the tool 26b. Heat may 25 optionally be employed to reduce the strain created by bending at the hinge portion 75. The flaps 78 may also be long enough so that they will be held in a generally horizontal position by the abutment of their rims 30 with the skirt 14. Preferably, the generally horizontal 30 flaps of spring portion 16 range from 0 to 30 degrees above the horizontal although it will perform adequately outside of this range.

FIGURES 24 and 25 illustrate how the as-molded conical structure 79 of FIGURES 21 to 23 may be employed 35 as a spring portion 16 without reforming to a generally

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horizontal position. Since the slits 74 have removed almost all of the hoop strength and, therefore, resistance to spread deformation of the conical structure 79, the structure can operate effectively as a 5 spring portion 16 at a much greater angle from the horizontal. As a result, the conical structure 79 is suitable for use as spring portion 16 in shapes and wall angles suitable for molding and withdrawal from molds without subsequent bending or curling, which would not 10 be possible without the inclusion of the slits 75 therein. This makes possible conical walls more closely approaching the vertical in one direction as well as the horizontal in the other. Preferred wall angles for 15 conical structure 79 with slits 74 when used for the spring portion 16 as molded and without reforming may therefore be preferably about 0 to 70 degrees from the horizontal. Also shown in FIGURES 24 and 25 is the curled plug seal 80 which shares its attachment with the spring portion 16 to lid 12 through upper wall 20. 20 During the curling operation which forms the plug seal 80, the flaps 78 may be bent upwardly to a more horizontal position as a result of the upward pressure by the curl 84 of the plug seal 80 as it is being formed. Alternatively, the curling tool 26 may also 25 directly bend the flaps 78 upwardly during the formation of the plug seal curl 84.

Referring now to FIGURES 26 and 27, there is shown a container neck 38 similar to that described in FIGURES 18 to 20 except that the lug 92 has two recesses 30 96 and 97. Recess 96 is the locking lug described in FIGURES 18 to 20 with a stopping face 94 and a locking face 98. In contrast, recess 97 has beveled restraining faces 91 and 93 which allow the cap 10 of FIGURE 18 to engage the container neck 38 securely but without 35 requiring an axial pressure to unlock it before

uncapping. In this manner, the closure may alternatively or optionally be used in a locked or unlocked mode as individually desired.

5 FIGURE 28 shows the cap 10 as described in FIGURES 18 to 20 as one side of a two sided cap wherein its opposing side is a snap cap including a bead 95 which is used to engage the lugs 92 of the container neck 38 described in FIGURE 19.

10 FIGURE 29 shows the cap 10 described in FIGURES 18 to 20 as one side of a two sided cap wherein its opposing side is similar, except that its lugs 90a have a restraining face 99 which is beveled and which will not be locked by the opposing locking face 98 on the container neck 38.

15 Referring now to FIGURES 30 and 31 there is illustrated an embodiment of the invention wherein the container neck 37 described in FIGURE 9 has been adapted to include a curled portion 16 at its rim. The curled portion 16 has a "U" shape cross section with an end 20 integral with the top 40 of the neck finish and a free end 22 with a curved intermediate portion 21 which provides a spring action upon axial compression. FIGURE 31 shows the engagement of the neck 37 with a cap 10 having a lid 12 and one depending annular ring 18 which is a plug seal for engagement with the intermediate portion 21 of the curled spring portion 16, and a second depending annular ring 41 which is adapted to depress the free end 22 in a spring engagement to unlock the cap thread 34 from the neck thread 52. In this manner, the container curled spring portion 16 provides both an effective seal and an effective spring action for the practice of the invention. Alternatively, the curled spring portion 16 may be produced in the original molding of the container neck 37 using suitable shaped blow, injection or other molds without a subsequent

curling operation as described for the curled spring portion 16 in FIGURES 6 and 7.

5 The curled portion is adapted to provide significant axial compression of at least about .030, preferably about .030 to .070 inches to allow for a significant butressing area on the locking and stopping surfaces and significant axial motion to effect their engagement and disengagement.

10 Referring now to FIGURE 32, there is shown another bottle neck 37 of the invention similar to the bottle neck 37 of FIGURES 30 and 31 except that it has a spring portion 16 which is an inwardly directed horizontal flange 43 integral with the neck lip 40. The cap lid 12 has a depending wall 41 which engages the 15 spring portion 16 to create a seal and through which the spring portion 16 urges the cap and neck threads 34 and 52 together. The threads are separated to unlock the cap when the cap is depressed and the spring portion 16 yields.

20 Referring to FIGURE 33 there is shown another bottle neck 37 similar to that illustrated by FIGURES 30 and 31 except that its spring portion 16 is inwardly curled. When the cap 10 is depressed, the spring portion 16 yields and moves down to unlock the cap 10 25 from the neck 37.

Referring now to FIGURE 34, there is shown another embodiment wherein the cap 10 is similar to that described in FIGURE 22 except that the generally horizontal flaps 78 used as spring portions are integral 30 with the cap skirt 14. The flaps are separated by slots 74 which facilitate their operation as cantilevered springs by significantly reducing the hoop strength of the generally conical structure 79 of the array of flaps 78. The neck rim 40 is slanted downwardly and outwardly 35 to facilitate the spring action by placing the flap

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bearing surface 71 further from its attachment site 20 at the skirt 14.

5 In the production of the invention, the size of the caps typically can range from about 20mm to 120mm and bottle and/or jar sizes range from about 2 ounce to 128 ounce capacity. Larger capacity containers such as drums or kegs are also suitable for the practice of the invention as are smaller vials and other containers.

10 Useful plastics which can be used for forming the caps of the invention include polypropylene, polyethylene, polystyrene, acrylonitrile - styrene - butadiene polymers, and other semi-rigid to rigid plastic materials.

15 The caps also can include combinations of materials, e.g., caps having metal lid portions or portions utilizing different plastics. The caps of the invention can be used to close and seal a wide variety of containers for a wide variety of products and foods including:

20 beverages, including carbonated soft drinks and pasteurized beverages such as beer; foods, especially those where container sealing performance is critical, including oxygen sensitive foods such as mayonnaise, peanut butter and salad oil, and including corrosive foods such as

25 vinegar, lemon juice; and

household chemicals, including bleaches and detergents, drugs and cosmetics and other products requiring the highest integrity seal and reseal under the widest range of distribution and use conditions.

30 Further, the caps of the present invention can be used in conjunction with other features for caps, such as breakaway rings, including the caps having the breakaway or separable rings disclosed in my U.S. Patent Application, Serial No. 809,057, the entire disclosure of which is hereby incorporated by reference.

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The invention in its broader aspects is not limited to the specific described embodiments and departures may be made therefrom within the scope of the accompanying claims without departing from the 5 principles of the invention and without sacrificing its chief advantages.

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CLAIMS:

1. A method of forming a cap for a container having engaging means thereon, comprising:
 - 5 forming a cap having a top wall and a depending skirt with engaging means thereon and a depending wall spaced from the skirt and extending from the top wall to a free end adapted to constantly urge the engaging means on the cap and container together upon engagement therebetween.
- 10 2. A method of forming a cap for a container having engaging means thereon, comprising:
 - 15 forming a cap having a top wall and a depending peripheral skirt with engaging means thereon, and a depending wall spaced from the skirt and extending from the top wall to a free end, and engaging the lower free end and forming a spring means therein adapted to constantly urge the engaging means on the cap and container together upon engagement therebetween.
- 20 3. The method of Claims 1 or 2, comprising forming a free end having low hoop stress upon axial compression thereof.
- 25 4. The method of Claims 1 or 2, comprising engaging and forming the depending wall so as to have one end attached to the cap, the other end movable relative to the cap and an intermediate substantially horizontal element.
- 30 5. The method of Claim 4, comprising forming the spring element with a bearing surface adapted to be engaged by the container at or beyond the substantially horizontal element.

6. The method of Claim 1 or 2, comprising engaging and curling the free end to provide a curled free end.

5 7. The method of Claim 1 or 2, comprising engaging and folding the free end to provide a hinged free end.

10 8. The method of Claims 1 or 2, comprising slitting the free end in the axial or radial direction.

9. The method of Claims 1 or 2, comprising a free end which also is adapted to seal upon engagement with a container.

15 10. A cap formed by the method of Claims 1 or 2.

20 11. A child resistant cap formed by the method of Claims 1 or 2.

12. A cap for a container having engaging means thereon, comprising:

a top wall,

25 a depending skirt with engaging means thereon, and

a depending wall spaced from the skirt and extending from the top wall to a free end adapted to constantly urge the engaging means on the cap and 30 container together upon engagement therebetween.

13. A cap for a container having engaging means thereon, comprising:

a top wall,

35 a depending peripheral skirt with engaging

- 24 -

means thereon, and

5 a depending wall spaced internally from said skirt and integral with and extending from the top wall to a free end comprising spring means adapted to constantly urge the engaging means on the cap and container together upon engagement therebetween.

10 14. The cap of Claims 12 or 13, wherein said free end has low hoop stress upon axial compression thereof.

15 15. The cap of Claims 12 or 13, wherein said free end has an intermediate horizontal element.

16. The cap of Claim 15, wherein said free end has a bearing surface adapted to be engaged by the container at or beyond said substantially horizontal element.

20 17. The cap of Claims 12 or 13, wherein said free end is curled.

18. The cap of Claims 12 or 13, wherein said free end is folded to provide a hinge.

25 19. The cap of Claims 12 or 13, wherein said free end is slit in the axial or radial direction.

30 20. The cap of Claims 12 or 13, wherein said free end also is adapted to seal upon engagement by a container.

21. The cap of Claims 12 or 13, wherein said cap is child resistant.

-25-

22. The cap of Claims 12 or 13, in combination with a container.

23. Apparatus for housing a product
5 comprising:

(a) a container comprising;
an opening for receiving or discharging
said product,

10 a neck surrounding the opening including
a lip,

an annular flexible member integral with
the lip adapted to be reversibly compressed in response
to an axial compressive load applied to the lip,

(b) a cap comprising;
15 a top wall,
a depending skirt with engaging means
thereon, and

20 a depending wall spaced from the skirt
and extending from the top wall to a free end which
engages the annular flexible member of the container and
is adapted to constantly urge the engaging means on the
cap and a container together upon engagement therebetween.

24. The apparatus of Claim 23, wherein the
25 annular flexible member of the lip comprises a first end
integral with the neck and a free end, and including an
axially compressible wall intermediate to said first and
free ends.

30 25. The apparatus of Claim 24, wherein the
cap further comprises a depending annular wall adapted
to engage the intermediate portion of the annular
flexible member of the container to thereby form a seal
when the cap is secured to the container.

- 26 -

26. The apparatus of Claim 25, wherein the cap further comprises a second annular ring adapted to depress the free end of the annular flexible member of the container.

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27. The apparatus of Claim 26, wherein the free end of the annular flexible member is movable from a partially compressed position to a compressed position thereby disengaging the engagement means of the cap and container and wherein the distance that the free end moves from the partially compressed position to said compressed position is from about 0.030 to 0.070 inch.

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28. The apparatus of Claim 23, wherein the annular flexible member comprises a horizontal element and the depending wall of the cap is adapted to exert downward pressure on the horizontal element.

15

29. The apparatus of Claim 24, wherein the intermediate portion is curved outward away from the opening of the container.

20

30. The apparatus of Claim 24, wherein the intermediate portion is curved inward toward the opening of the container.

25

31. A cap for a container having engaging means thereon, comprising:

30

a top wall,
a depending skirt having engaging means thereon and at least one substantially horizontal element extending therefrom, said element bearing against the container to urge the engaging means of the cap and container together upon engagement therebetween.

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- 27 -

32. The cap of Claim 31, wherein the junction of the depending skirt and the horizontal element is curved.

5 33. The cap of Claim 31 comprising at least two spaced apart substantially horizontal elements.

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AMENDED CLAIMS

[received by the International Bureau
on 31 October 1988 (31.10.88);
original claims 1-33 replaced by new claims 1-28 (4 pages)]

1. A method of forming a twist cap for a container having stop means for controlling the closing of the twist cap thereon, comprising:

forming a cap having a top wall, an outer depending skirt with stop means adapted to engage the stop means on the container, and an internal depending substantially continuous wall which circumscribes an open area within the inner wall and which includes spring means having an upper end at the top wall and a lower free end adapted to be moved relative to said upper end upon engagement between the stop means on the container and cap whereupon the free end flexes at relatively low hoop stresses.

2. A twist cap for a container having stop means for controlling the closing of the twist cap thereon comprising:

a top wall,

a depending skirt with stop means adapted to engage the stop means on the container to limit the twisting of the cap onto the container, and

an internal depending substantially continuous wall which circumscribes an open area therewithin and which includes spring means having an upper end at the top wall and a lower free end adapted to be moved relative to said upper end upon engagement between the stop means on the container and cap whereupon the free end flexes at relatively low hoop stresses.

3. The method of Claim 1, comprising forming an intermediate substantially horizontal element between the ends of the inner wall.

4. The method of Claim 3, comprising forming the depending wall with a bearing surface adapted to be engaged by the container at the substantially horizontal element.

5. The method of Claim 1, comprising engaging and curling the free end to provide a curled free end.

6. The method of Claim 1, comprising folding and slitting the free end to provide a hinged free end for flexing at low hoop stresses.

7. The method of Claim 6, comprising slitting the free end in the radial direction.

8. A cap formed by the method of Claim 1.

9. A child resistant cap formed by the method of Claim 1.

10. The cap of Claim 2, wherein said depending wall has a substantially horizontal element intermediate at its ends.

11. The cap of Claim 10, wherein said depending wall has a bearing surface adapted to be engaged by the container at said substantially intermediate horizontal elements.

12. The cap of Claim 2, wherein said free end is curled.

13. The cap of Claim 2, wherein said free end is folded and slitted, to provide a hinge which can be flexed at low hoop stresses.

14. The cap of Claim 2, wherein said free end is slit in the radial direction.

15. The cap of Claim 2, wherein said free end also is adapted to seal upon engagement by a container.

16. The cap of Claim 2, wherein said cap is child resistant.

17. The cap of Claim 2, in combination with a container.

18. A container-cap combination for containing a product comprising:

(a) a container comprising;
an opening for receiving or discharging
said product,
a neck surrounding the opening having

engaging means thereon and including a lip,
an annular flexible member integral with
the lip adapted to be compressed in response to an axial
compressive load applied to the lip,

(b) a cap comprising;
a top wall,
a depending skirt with engaging means
thereon, and

a depending wall spaced from the skirt and
extending from the top wall to a free end which engages
the annular flexible member of the container and is
adapted to constantly urge the engaging means on the cap
and the container together upon engagement therebetween.

19. The container-cap combination of Claim 18,
wherein the annular flexible member of the lip comprises
a first end integral with the neck and a free end, and
including an axially compressible wall intermediate to
said first and free ends.

20. The container-cap combination of Claim 19,
wherein the cap further comprises a depending annular
wall adapted to engage the intermediate portion of the
annular flexible member of the container to thereby form
a seal when the cap is secured to the container.

21. The container-cap combination of Claim 20,
wherein the cap further comprises an outer depending
annular ring adapted to depress the free end of the
annular flexible member of the container.

22. The container-cap combination of Claim 21,
wherein the end of the annular flexible member is movable
from a partially compressed position to a compressed
position thereby disengaging the engagement means of the
cap and container and wherein the distance that the free
end moves from the partially compressed position to said
compressed position is from about 0.030 to 0.070 inch.

23. The container-cap combination of Claim 18,
wherein the annular flexible member comprises a

horizontal element and the depending wall of the cap is adapted to exert downward pressure on the horizontal element.

24. The container-cap combination of Claim 19, wherein the intermediate portion is curved outward away from the opening of the container.

25. The container-cap combination of Claim 19, wherein the intermediate portion is curved inward toward the opening of the container.

26. The container-cap combination of Claim 18, wherein the annular flexible member of the container extends inwardly from and substantially horizontal to the lip of the neck.

27. The container-cap combination of Claim 18, wherein the annular flexible member of the container extends upwardly and inwardly in a curvilinear manner from the lip of the neck.

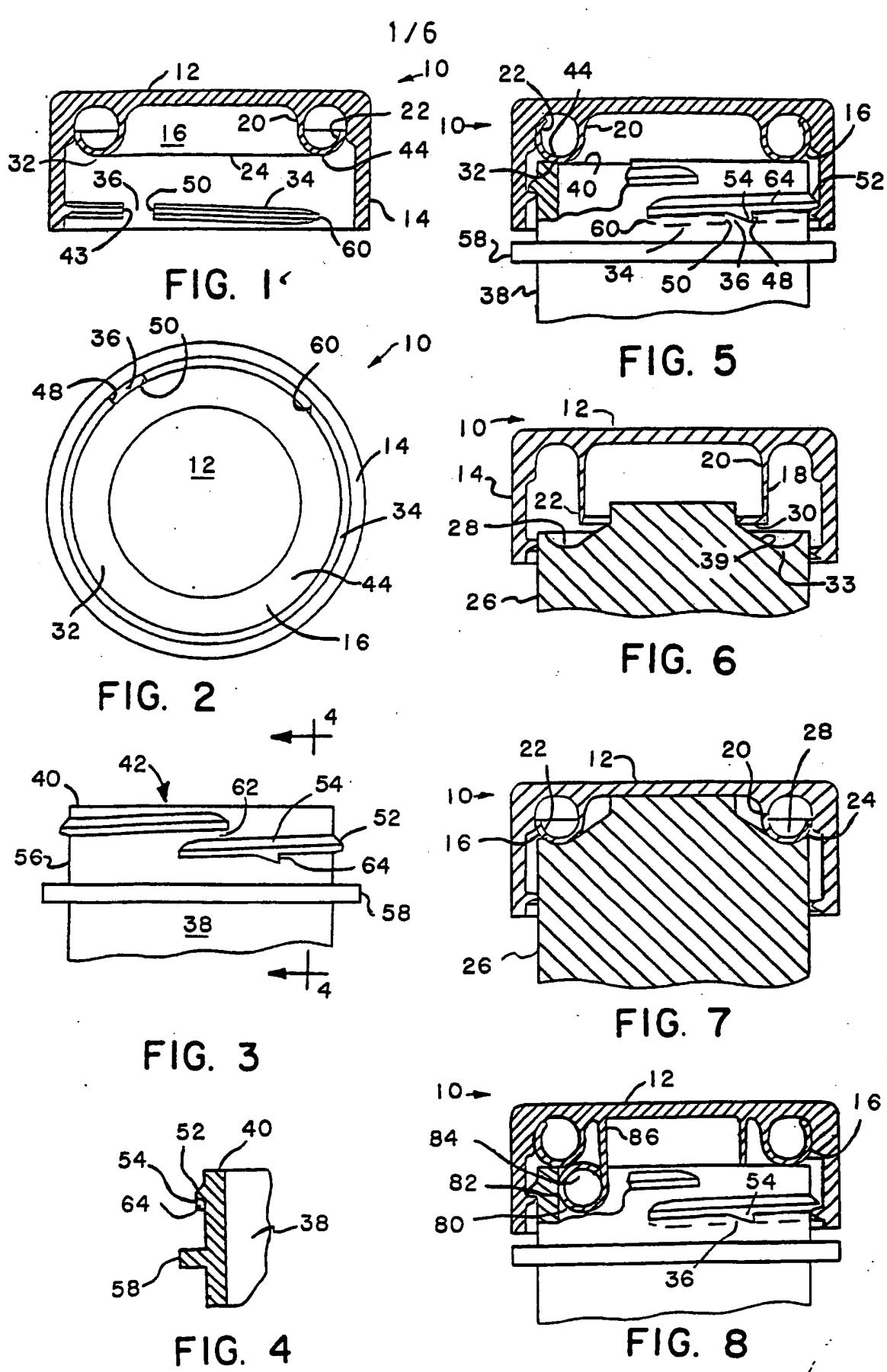
28. A container-cap combination for containing a product comprising:

(a) a container comprising
an open for receiving or discharging the product, and

a neck surrounding the opening having engaging means thereon and including a lip,

(b) a cap comprising
a top wall,
a depending skirt with engaging means thereon, and

a wall extending inwardly and downwardly from the skirt for engagement with the lip of the neck to constantly urge the engaging means on the cap and the container together upon engagement therebetween, and wherein said wall has radial slots therein for flexing thereof at low hoop stresses.



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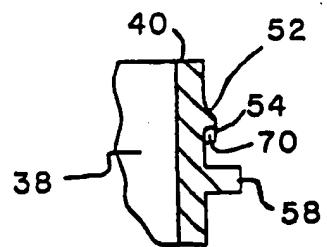


FIG. 10

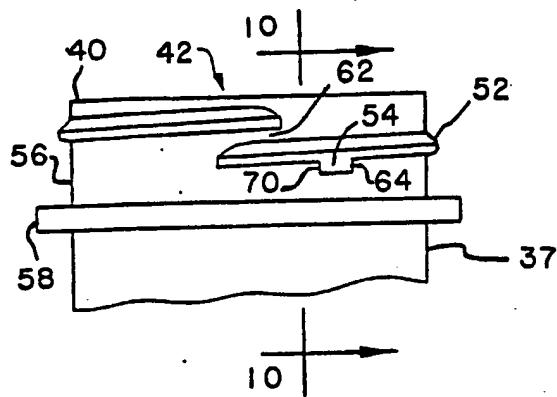


FIG. 9

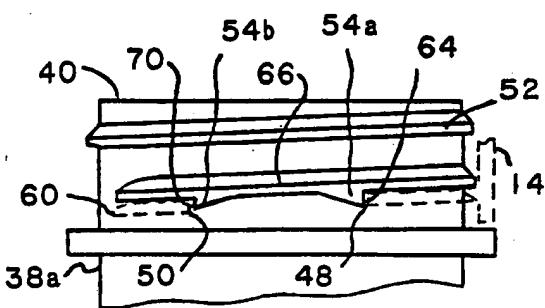


FIG. 12

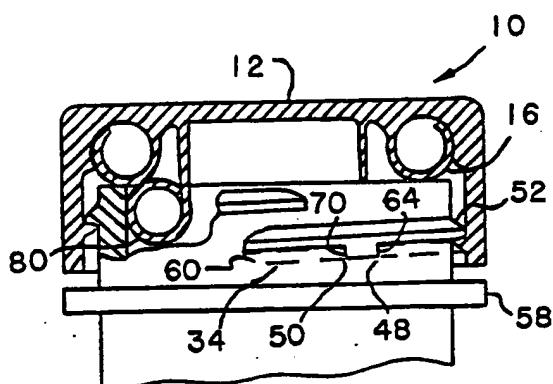


FIG. 11

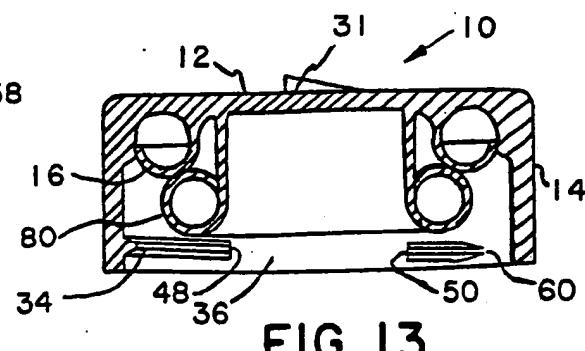


FIG. 13

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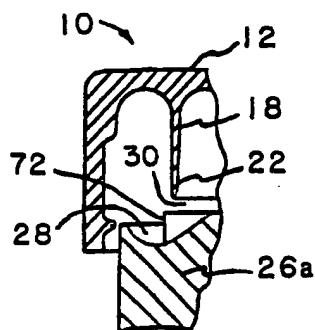


FIG. 14

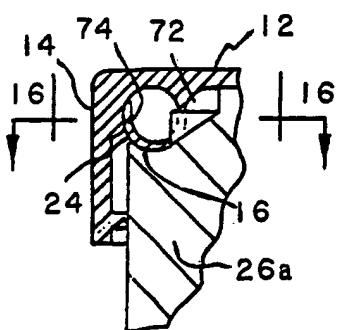


FIG. 15

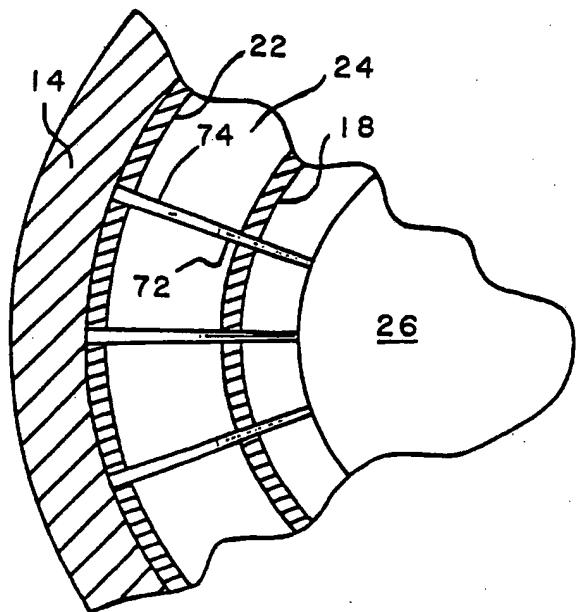


FIG. 16

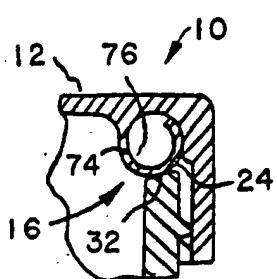


FIG. 17

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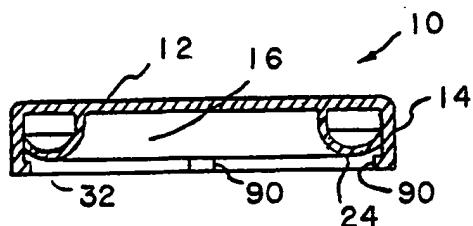


FIG. 18

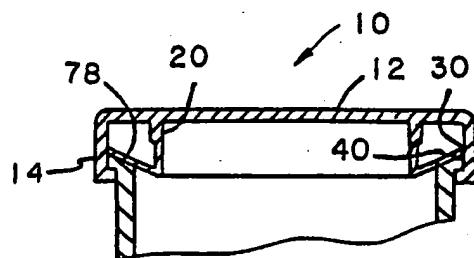


FIG. 21

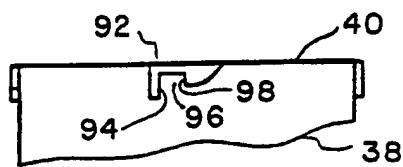


FIG. 19

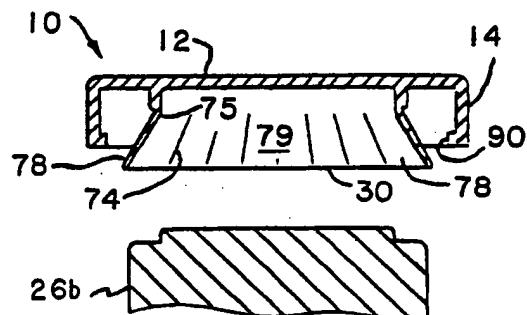


FIG. 22

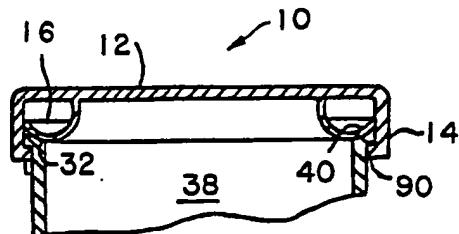


FIG. 20

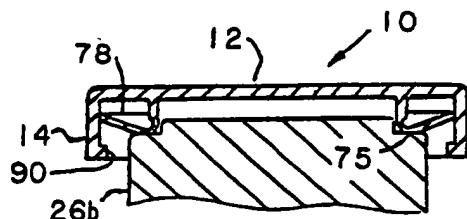
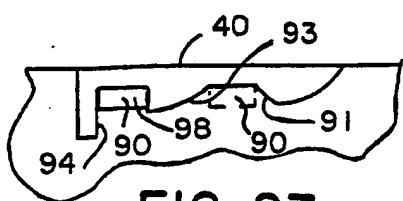
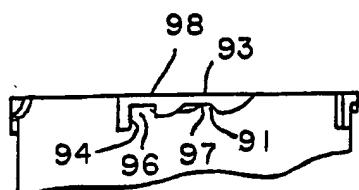
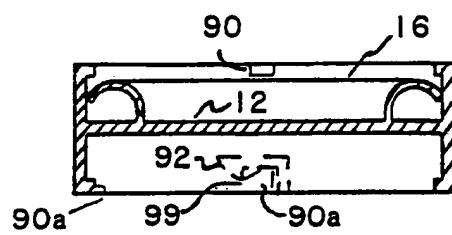
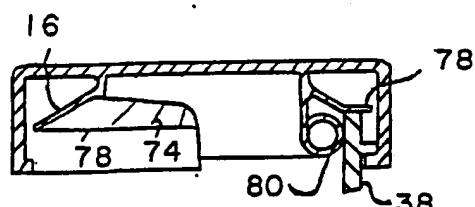
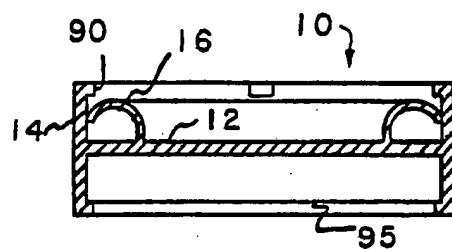
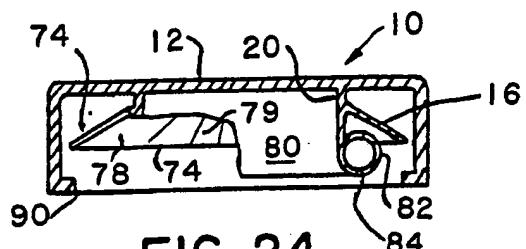


FIG. 23

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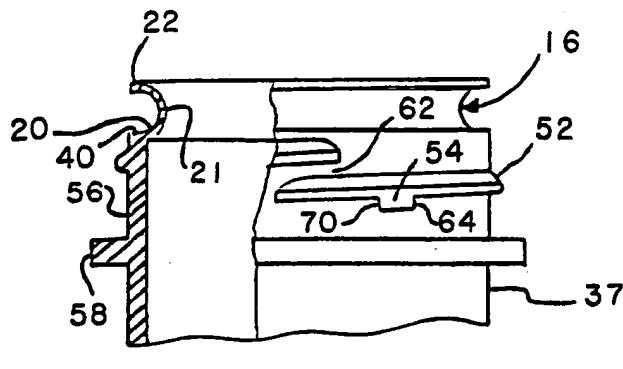


FIG. 30

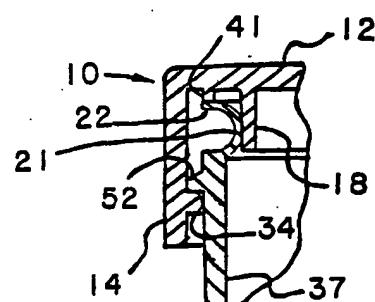


FIG. 31

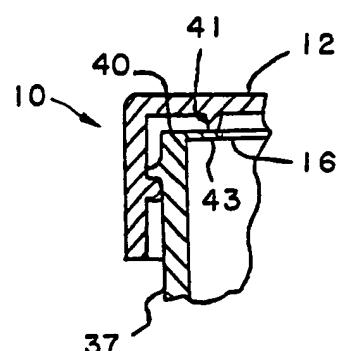


FIG. 32

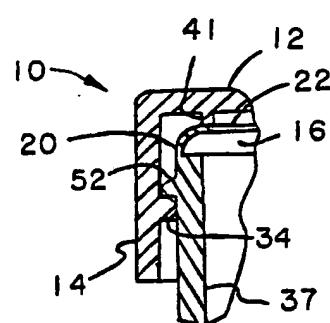


FIG. 33

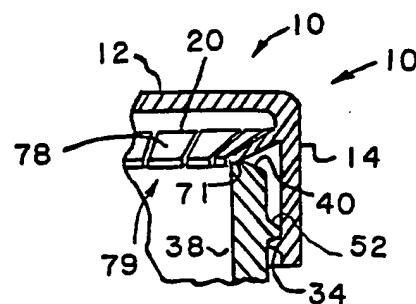


FIG. 34

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US88/02080

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶

According to International Patent Classification (IPC) or to both National Classification and IPC
 IPC (4): B65D 41/02
 U.S. CL. 215/222, 344; 264/163

II. FIELDS SEARCHED

Minimum Documentation Searched ⁷

Classification System	Classification Symbols
U.S.	215/222, 223, 342, 344, Dig. 1; 264/163, 295, 296

Documentation Searched other than Minimum Documentation
 to the Extent that such Documents are Included in the Fields Searched ⁸

III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹

Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
Y	US, A 958,513 (LOVE) 17 May 1910 (17.05.10) See Fig. 3 and page 1, lines 57-60.	8, 19
X Y	US, A, 3,344,942 (HEDGEWICK) 03 October 1967 (03.10.67) See col. 2, lines 25-30 and lines 58-66.	1-5, 9-16, 20, 22 6-8, 17-19, 32
X Y	US, A 3,348,717 (TREANOR) 24 October 1967 (24.10.67) See Fig. 1 and col. 3, lines 50-55.	1-5, 9-16, 20 22
Y	US, A, 3,557,275 (LONGSHAW ET AL) 19 January (19.01.71) See Fig. 1 and col. 2, line 61 - col. 3, line 20.	6, 7, 17, 18
X Y	US, A 3,557,985 (DENIS ET AL) 26 January 1971 (26.01.71) See col. 2, lines 70-75.	31 32
A	US, A 3,612,324 (MALICK) 12 October 1971 (12.10.71)	

- Special categories of cited documents: ¹⁰
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search

02 August 1988

International Searching Authority

ISA/US

Date of Mailing of this International Search Report

26 SEP 1988

Signature of Authorized Officer

Stephen Garbe

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

A	US, A, 4,091,948 (NORTHUP) 30 May 1978 (30.05.78)	
A	US, A, 4,153,172 (BIAŁOBRZESKI) 08 May 1979 (08.05.79)	
X	US, A, 4,595,547 (HERR) 17 June 1986 (17.06.86) See col. 3, lines 44-53.	31-33

V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE¹

This international search report has not been established in respect of certain claims under Article 17(2) (e) for the following reasons:

1. **Claim numbers.**, because they relate to subject matter¹² not required to be searched by this Authority, namely:

2 Claim numbers _____, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out¹³, specifically:

3. Claim numbers _____, because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING²

This International Searching Authority found multiple inventions in this international application as follows:

- As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
- As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
- No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
- As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Report on Project

The additional search fees were accompanied by applicant's protest.
 No protest accompanied the payment of additional search fees.

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